



# Research Support

## FACULTY AWARDS 2019

(This pertains to outputs delivered in 2018)

Most significant contributions to:

- A. Research Outputs
- B. Master's & Doctoral training
- C. Generation of external income (SOF 5)

|  |
|--|
| <b>NAME OF FACULTY:</b>                        |
| <b>TOTAL MEMBER UNIT VALUE OF FACULTY/FTE:</b> |

### Definitions

For this section, also refer to the various categories of employment as defined by HR; see <https://tdrpl- lnx1.nwu.ac.za/node/17463>.

**Staff member:** A person who is employed fulltime or part-time, either permanent, on a fixed term contract or a temporary contract (excluding *ad hoc* or extraordinary appointments) by the NWU, who is registered on the HR system and for whom a fixed number of working hours is specified. This does NOT include student assistants, extraordinary appointments or *ad hoc* appointments.

**Research publication:** A publication that qualifies for subsidy, as defined in the DHET policy "Policy and Procedures for measurement of research output of public higher education institutions".

**Primary member:** A staff member of the NWU as defined above, spending most of his/her research time working in the entity.

**Secondary member:** All other participants affiliated in some way to the NWU, i.e. Students, Post-docs, Extraordinary appointments or *ad hoc* appointments.

### Calculating the TOTAL MEMBER UNIT VALUE OF ENTITY

A list of all primary and secondary participants, with their unit values must be submitted with the application. The sum of the individual unit values will be the total member unit value of the entity. The **unit value** is defined as the percentage of his/her time that a participant spends working in the entity. To calculate the unit value for an individual, proceed as follows:

For **staff members as defined** above, i.e. for **primary members**: For each person in this category, there will be a Task Agreement specifying the proportion of time to be spent (a number between 0 and 1) working in the entity. This number is used as the unit value for the person. For instance, a research professor appointed fulltime in the entity will have a unit value of 1. An academic spending 60% of his/her time teaching and 40% on research in the entity will have a unit value of 0.4.

For **secondary members**:

**Students:** If the student is registered fulltime, the unit value is 1. The unit value of a part-time student is 0.5.

**Postdoctoral fellows:** Unit value = 1.

**Extraordinary appointments:** In this case, the relevant research director must supply an estimate of the proportion of total time spent by the participant.

**Ad hoc appointments:** The **Total hours worked** filled in on the claim form for ad hoc payments, divided by 1600.

## Section A

| STAFF MEMBERS    |  |                   |                                  |                    |
|------------------|--|-------------------|----------------------------------|--------------------|
| NO               | ACTIVITY   | UNIT <sup>1</sup> | WEIGHT                           | VALUE <sup>2</sup> |
| <b>1</b>         | <b>ACCREDITED (SUBSIDISED) RESEARCH OUTPUT</b><br><i>Information will be extracted from InfoEd</i>   |                   |                                  |                    |
| 1.1              | Journal articles (approved DoE and ISI indexes <sup>3</sup> )<br>1 UNIT = 10   |                   |                                  |                    |
| 1.2              | Chapters in books 1 UNIT = 10  |                   |                                  |                    |
| 1.3              | Books 1 UNIT=100   |                   |                                  |                    |
| 1.4              | Conference proceedings 1 UNIT=5  |                   |                                  |                    |
| <b>SUB TOTAL</b> |  |                   |                                  |                    |
| <b>2</b>         | <b>ARTICLES PUBLISHED IN NATIONAL AND INTERNATIONAL JOURNALS (NON-accredited peer reviewed journals)</b> <i>Electronic copies must be submitted</i>    |                   |                                  |                    |
| NO               | ACTIVITY   | UNIT              | WEIGHT                           | VALUE              |
| 2.1              | Research article in national or international journal<br>1 UNIT = 5  |                   |                                  |                    |
| 2.2              | Chapter in book (excluding study material - only original research) 1 UNIT = 5   |                   |                                  |                    |
| 2.3              | Book (excluding study material - only original research)<br>1 UNIT =50   |                   |                                  |                    |
| <b>SUB TOTAL</b> |  |                   |                                  |                    |
| <b>3</b>         | <b>PRESENTATIONS MADE TO NATIONAL AND INTERNATIONAL CONFERENCES</b> <i>Electronic copies of presentations and conference program must be submitted</i> |                   |                                  |                    |
| NO               | ACTIVITY   | UNIT              | WEIGHT                           | VALUE              |
| 3.1              | International Paper <sup>4</sup> presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT=20                               |                   |                                  |                    |
| 3.2              | International Paper presented: 1 UNIT = 10   |                   |                                  |                    |
| 3.3              | National <sup>5</sup> Paper presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT = 10                                  |                   |                                  |                    |
| 3.4              | National Paper presented: 1 UNIT = 5   |                   |                                  |                    |
| <b>SUB TOTAL</b> |  |                   |                                  |                    |
| <b>4</b>         | % of ORCID registrations   |                   | 10 bonus points if 80% and above |                    |
| <b>5</b>         | % of publications in high impact factor journals normalised/applicable to specific fields  |                   | 10 bonus points if 80% and above |                    |

<sup>1</sup> 1 Unit = 1 author per article (2 authors = 0.5 units each)

<sup>2</sup> Value = Unit/Number\*weight

<sup>3</sup> SCI, SSCI, A&HCI, IBSS available at [http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred\\_a.htm](http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred_a.htm)

<sup>4</sup> Substantial international participation

<sup>5</sup> In South Africa or abroad

| POSTDOCTORAL FELLOWS |   |                   |                                  |                    |
|----------------------|---|-------------------|----------------------------------|--------------------|
| NO                   | ACTIVITY  | UNIT <sup>1</sup> | WEIGHT                           | VALUE <sup>2</sup> |
| <b>6</b>             | <b>ACCREDITED (SUBSIDISED) RESEARCH OUTPUT</b><br><i>Information will be extracted from InfoEd</i>  |                   |                                  |                    |
| 6.1                  | Journal articles (approved DoE and ISI indexes <sup>3</sup> )<br>1 UNIT = 10  |                   |                                  |                    |
| 6.2                  | Chapters in books 1 UNIT = 10   |                   |                                  |                    |
| 6.3                  | Books 1 UNIT=100  |                   |                                  |                    |
| 6.4                  | Conference proceedings 1 UNIT=5   |                   |                                  |                    |
| <b>SUB TOTAL</b>     |   |                   |                                  |                    |
| <b>7</b>             | <b>ARTICLES PUBLISHED IN NATIONAL AND INTERNATIONAL JOURNALS</b><br><b>(NON-accredited peer reviewed journals)</b> <i>Electronic copies must be submitted</i> |                   |                                  |                    |
| NO                   | ACTIVITY  | UNIT              | WEIGHT                           | VALUE              |
| 7.1                  | Research article in national or international journal<br>1 UNIT = 5   |                   |                                  |                    |
| 7.2                  | Chapter in book (excluding study material - only original research) 1 UNIT = 5  |                   |                                  |                    |
| 7.3                  | Book (excluding study material - only original research)<br>1 UNIT =50  |                   |                                  |                    |
| <b>SUB TOTAL</b>     |   |                   |                                  |                    |
| <b>8</b>             | <b>PRESENTATIONS MADE TO NATIONAL AND INTERNATIONAL CONFERENCES</b> <i>Electronic copies of presentations and conference program must be submitted</i>        |                   |                                  |                    |
| NO                   | ACTIVITY  | UNIT              | WEIGHT                           | VALUE              |
| 8.1                  | International Paper <sup>4</sup> presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT=20                                      |                   |                                  |                    |
| 8.2                  | International Paper presented: 1 UNIT = 10  |                   |                                  |                    |
| 8.3                  | National <sup>5</sup> Paper presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT = 10   |                   |                                  |                    |
| 8.4                  | National Paper presented: 1 UNIT = 5  |                   |                                  |                    |
| <b>SUB TOTAL</b>     |   |                   |                                  |                    |
| <b>9</b>             | % of ORCID registrations  |                   | 10 bonus points if 80% and above |                    |
| <b>10</b>            | % of publications in high impact factor journals normalised/applicable to specific fields   |                   | 10 bonus points if 80% and above |                    |

<sup>1</sup> 1 Unit = 1 author per article (2 authors = 0.5 units each)

<sup>2</sup> Value = Unit/Number\*weight

<sup>3</sup> SCI, SSCI, A&HCI, IBSS available at [http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred\\_a.htm](http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred_a.htm)

<sup>4</sup> Substantial international participation

<sup>5</sup> In South Africa or abroad

| MASTER'S AND PHD STUDENTS |   |                   |                                  |                    |
|---------------------------|---|-------------------|----------------------------------|--------------------|
| NO                        | ACTIVITY  | UNIT <sup>1</sup> | WEIGHT                           | VALUE <sup>2</sup> |
| <b>11</b>                 | <b>ACCREDITED (SUBSIDISED) RESEARCH OUTPUT</b><br><i>Information will be extracted from InfoEd</i>  |                   |                                  |                    |
| 11.1                      | Journal articles (approved DoE and ISI indexes <sup>3</sup> )<br>1 UNIT = 10  |                   |                                  |                    |
| 11.2                      | Chapters in books 1 UNIT = 10   |                   |                                  |                    |
| 11.3                      | Books 1 UNIT=100  |                   |                                  |                    |
| 11.4                      | Conference proceedings 1 UNIT=5   |                   |                                  |                    |
| <b>SUB TOTAL</b>          |   |                   |                                  |                    |
| <b>12</b>                 | <b>ARTICLES PUBLISHED IN NATIONAL AND INTERNATIONAL JOURNALS</b><br><b>(NON-accredited peer reviewed journals)</b> <i>Electronic copies must be submitted</i> |                   |                                  |                    |
| NO                        | ACTIVITY  | UNIT              | WEIGHT                           | VALUE              |
| 12.1                      | Research article in national or international journal<br>1 UNIT = 5   |                   |                                  |                    |
| 12.2                      | Chapter in book (excluding study material - only original research) 1 UNIT = 5  |                   |                                  |                    |
| 12.3                      | Book (excluding study material - only original research)<br>1 UNIT =50  |                   |                                  |                    |
| <b>SUB TOTAL</b>          |   |                   |                                  |                    |
| <b>13</b>                 | <b>PRESENTATIONS MADE TO NATIONAL AND INTERNATIONAL CONFERENCES</b> <i>Electronic copies of presentations and conference program must be submitted</i>        |                   |                                  |                    |
| NO                        | ACTIVITY  | UNIT              | WEIGHT                           | VALUE              |
| 13.1                      | International Paper <sup>4</sup> presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT=20                                      |                   |                                  |                    |
| 13.2                      | International Paper presented: 1 UNIT = 10  |                   |                                  |                    |
| 13.3                      | National <sup>5</sup> Paper presented as Plenary/keynote speaker (proof of invitation should be attached) 1 UNIT = 10   |                   |                                  |                    |
| 13.4                      | National Paper presented: 1 UNIT = 5  |                   |                                  |                    |
| <b>SUB TOTAL</b>          |   |                   |                                  |                    |
| <b>14</b>                 | % of ORCID registrations  |                   | 10 bonus points if 80% and above |                    |
| <b>15</b>                 | % of publications in high impact factor journals normalised/applicable to specific fields   |                   | 10 bonus points if 80% and above |                    |

<sup>1</sup> Unit = 1 author per article (2 authors = 0.5 units each)

<sup>2</sup> Value = Unit/Number\*weight

<sup>3</sup> SCI, SSCI, A&HCI, IBSS available at [http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred\\_a.htm](http://www4-win2.p.nwu.ac.za/dbtw-wpd/textbases/accred_a.htm)

<sup>4</sup> Substantial international participation

<sup>5</sup> In South Africa or abroad

## Section B

| 16   | Master's and Doctoral Training | South-African |   |          |   |              |   |       |                       | Non-SA    |                                     | Subtotal |      | Total |
|--|--------------------------------|---------------|---|----------|---|--------------|---|-------|-----------------------|-----------|-------------------------------------|----------|------|-------|
|  |                                | Black African |   | Coloured |   | Indian/Asian |   | White |                       | All Races |                                     | Female   | Male |       |
|  |                                | F             | M | F        | M | F            | M | F     | M                     | F         | M                                   |          |      |       |
| Number of Master's graduated in 2018   |                                |               |   |          |   |              |   |       |                       |           |                                     |          |      |       |
| Number of Doctoral graduated in 2018   |                                |               |   |          |   |              |   |       |                       |           |                                     |          |      |       |
| Number of graduates completed in record time <i>(min prescribed period for degree)</i>   |                                |               |   |          |   |              |   |       |                       |           |                                     |          |      |       |
| <b>Number of capacity building</b> <i>(e.g. workshops, seminars, mentorships etc. organised by faculties for postgrad students)</i><br><i>Electronic list of workshops, dates and names to be attached</i> |                                |               |   |          |   |              |   |       | Total no of Workshops |           | Total no of M & D students attended |          |      |       |
|  |                                |               |   |          |   |              |   |       |                       |           |                                     |          |      |       |

Note: The size of the Faculty will be considered.

**Section C**

| 17               | <b>GENERATION OF EXTERNAL INCOME (SOF 5)</b><br><i>Electronic supporting documentation/award letter should be submitted</i> |         |        |       |
|------------------|---|---------|--------|-------|
| NO               | NAME OF FUNDER  | AWARDED | WEIGHT | VALUE |
| 17.1             |   |         | 10     |       |
| 17.2             |   |         | 10     |       |
| 17.3             |   |         | 10     |       |
| 17.4             |   |         | 10     |       |
| 17.5             |   |         | 10     |       |
| 17.6             |   |         | 10     |       |
| 17.7             |   |         | 10     |       |
| 17.8             |   |         | 10     |       |
| 17.9             |   |         | 10     |       |
| 17.10            |   |         | 10     |       |
| 17.11            |   |         | 10     |       |
| 17.12            |   |         | 10     |       |
| 17.13            |   |         | 10     |       |
| 17.14            |   |         | 10     |       |
| 17.15            |   |         | 10     |       |
| <b>SUB TOTAL</b> |   |         |        |       |

*Should you have more than 15 to list, please contact Mpe Meintjes for an additional list.*

|                                     |  |                  |  |
|-------------------------------------|--|------------------|--|
| <b>SIGNATURE: CANDIDATE</b>         |  |                  |  |
| <b>NOMINATED BY:</b>                |  |                  |  |
| <b>NAME</b>                         |  | <b>SIGNATURE</b> |  |
| <b>SUPPORTED BY DEAN / FACULTY:</b> |  |                  |  |
| <b>NAME</b>                         |  | <b>SIGNATURE</b> |  |

Please submit the completed electronic form as well as all supporting documentation by e-mail to [mpe.meintjes@nwu.ac.za](mailto:mpe.meintjes@nwu.ac.za) before 30 June 2019.

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| <b>NO</b>    | <b>ACTIVITY</b>                                    | <b>VALUE</b> |
|--------------|--|--------------|
| <b>1</b>     | Staff members: Research output                     |              |
| <b>2</b>     | Staff members: Articles in Journals                |              |
| <b>3</b>     | Staff members: Presentation at Conferences         |              |
| <b>4</b>     | % of ORCID registrations                           |              |
| <b>5</b>     | % of publications in high impact journals          |              |
| <b>6</b>     | Postdoctoral Fellows: Research output              |              |
| <b>7</b>     | Postdoctoral Fellows: Articles in Journals         |              |
| <b>8</b>     | Postdoctoral Fellows:: Presentation at Conferences |              |
| <b>9</b>     | % of ORCID registrations                           |              |
| <b>10</b>    | % of publications in high impact journals          |              |
| <b>11</b>    | M & D Students: Research output                    |              |
| <b>12</b>    | M & D Students Articles in Journals                |              |
| <b>13</b>    | M & D Students: Presentation at Conferences        |              |
| <b>14</b>    | % of ORCID registrations                           |              |
| <b>15</b>    | % of publications in high impact journals          |              |
| <b>TOTAL</b> |  |              |

| <b>16</b>    | <b>Master's and Doctoral Training</b>        | <b>VALUE</b> |
|--------------|--|--------------|
|              | Number of Master's graduated in 2018         |              |
|              | Number of Doctoral graduated in 2018         |              |
|              | Number of graduates completed in record time |              |
|              | Number of capacity building                  |              |
| <b>TOTAL</b> |  |              |

|           |                                       |  |
|-----------|---------------------------------------|--|
| <b>17</b> | Generation of External Income (SOF 5) |  |
|-----------|---------------------------------------|--|